Get To Know Your Trees

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Canadian Computing Competition, 2006 Stage 2

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Outline Preliminaries

- 2 Spanning Trees of Graphs
- 3 A General Framework
 - Depth-First Search
 - Breath-First Search
 - Minimum Spanning Tree
 - Dijkstra's Shortest Paths Algorithm

Advanced Tactics

- A-Star, Meet in the Middle
- Preorder, Postorder, Topological Sort
- Biconnectivity, Strong Connectivity

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What Is a Tree?

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What Is a Tree?

• A *forest* is a collection of trees.

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 - A connected graph with no cycles
 - A graph where there is each pair of vertices is joined by a single path

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- Trees have lots of interesting characterizations as graphs ...
 - A connected graph with no cycles
 - A graph where there is each pair of vertices is joined by a single path
- ... but we won't talk about this here.

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Basic Botany

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- Each other node that we add to the tree is the *child* of an existing node.



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- an expression (leaves = values, internal nodes = functions)
- states of a game (nodes = board positions, root = initial board, edges = valid moves, leaves = ending positions)
- occasionally, a tree (leaves = leaves, root = root)



< <p>Image: Construction of the second secon

• Straightforward representation: keep an array *P* of the nodes' parents and an array *C* of child-lists.

x	P[x]	C[x]
Α	C	0
В	Е	(F)
С	Е	(G,A)
D	G	0
Е	nil	(B,C)
F	В	0
G	С	(D,H,I)
Н	G	0
Ι	G	0



- If we don't care about (or don't know) the order of each node's children then we may only need to keep track of *P*.
- Alternatively, we can just keep track of *C*.

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Aside: Binary Trees

- Another form of tree is a *binary tree*.
- Each node may or may not have a left child, and may or may not have a right child.
- Each node is a record with fields (value, left, right), where left and right are pointers to nodes. A null pointer means that that child doesn't exist.
- If we stick values in the nodes the right way, we can make a *binary search tree* which is useful for some applications.
- Different generalization: *k* child positions is a *k-ary tree*.





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- Definition: a graph is *bipartite* if the nodes can be colored green and blue so that each there are no green-green or blue-blue edges.
- You can show that a graph is bipartite if and only if for each non-tree edge {u, v} we have level(u) ≠ level(v) (mod 2).



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- Because of the edge pictured (among others) we know *G* is not bipartite.
- But this other graph (with the same spanning tree) *is* bipartite.
- We color the even-level nodes green and the odd-level nodes blue.





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- A *stack* is a LIFO (last-in, first-out) data structure.
- When we *pop*, the newest item in the stack is returned & removed.
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- A *priority queue* is a cheapest-out structure.
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- E.g. push(A, 2) then push(B, 3). Pop returns A. If we push(C, 1) and then pop again we get C, and another pop will return B.

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- Implement priority queue with a *heap* or *balanced binary tree*.

Exploring a Graph

- Here is an abstract algorithm for exploring a graph.
- 1: **procedure** SEARCH-GRAPH(*G*, root)
- 2: **isExplored** := boolean[vertices of *G*], initially false
- 3: waitingEdges := struct $\langle pair \langle vertex \rangle \rangle$
- 4: waitingEdges.add((nil, root))
- 5: while waitingEdges is not empty do

6:
$$(p, v) :=$$
waitingEdges.remove()

- 7: **if** (!isExplored[v]) **then**
- 8: isExplored[v] := true
- 9: parent[v] := p
- 10: **for** all neighbours *w* of *v* such that !isExplored[*w*] **do**
- 11: waitingEdges.add((v, w))
 - Basically we try to explore every edge that we learn about.
 - No matter what order edges are removed from waitingEdges, we get a spanning tree.



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- Complexity
- Each edge enters and leaves the stack exactly once.
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- Applications
- We will see later that using DFS and some other ideas (preorder, postorder) we can get efficient algorithms for biconnectivity and strong connectivity.



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- An Application
- *Girth* g : length of the shortest cycle.
- There is a length-*g* cycle through the root if and only if some non-tree edge *uv* satisfies level[*u*]+level[*v*]+1=*g*.
- So to compute g : do a BFS from each vertex and return the minimum value of level[u]+level[v]+1 over all non-tree edges uv in all trees.



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- *Girth* g : length of the shortest cycle.
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- So to compute g : do a BFS from each vertex and return the minimum value of level[u]+level[v]+1 over all non-tree edges uv in all trees.
- No known fast algorithm for determining the *longest* cycle.



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- Here's pseudocode for connected components. Search-and-Label(*G*, root) is any kind of search routine, but when it explores a node *w* it sets connected-component-label[*w*] := root.
 - 1: **procedure** CONNECTED-COMPONENTS(G)
 - 2: is Explored := boolean[v] \triangleright Assume vertices are 0, ..., v 1

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- 3: **for** i := 1 to v 1 **do**
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- Computes a *spanning forest* of *G*.

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- Intuitively, we are "growing" a spanning tree starting from the specified root.
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- Each edge enters and leaves the priority queue exactly once.
- Priority queues generally have $O(\log n)$ time complexity per access so total time complexity is $O(m \log n)$
- Also known as Prim's algorithm.
- Can be implemented somewhat faster, in $O(m + n \log n)$ time.

AQ (A



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- Works for directed graphs. *Doesn't work* if there are negative edge weights.



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- Example: Nodes are *states* of Rubik's cube, edges are valid moves.
- Want to solve the cube quickly \Leftrightarrow shortest path.



- Can we use BFS without actually constructing the whole graph?
- Sure. It is trickier to keep track of isExplored; you can use a Set class like a *hash set* or a *sorted set / balanced binary tree*.

David Pritchard (U Waterloo C&O)

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- Summary of last slide: to find path from *x* to *y* do a BFS from *x*, stopping when we hit *y*.
- The "implicit graph" idea is used a lot in AI: planning driving routes, automatic theorem proving, operations research.

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- A caveat. If there is no path from *x* to *y*, then our BFS will explore the whole graph anyway, which is inefficient!
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- 43,252,003,274,489,856,000 positions for a Rubik's cube.
- Actually, if *x* and *y* are diametrically opposite then the given strategy still would explore (nearly) the entire graph just to find the *x*-*y* path.
- But now I will explain 2 ways to improve performance even in this "worst" case: Meet-in-the-Middle and A* ("A-star") search.

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Meet in the Middle

- Suppose that each node of our graph has *k* neighbours, and we are applying the previous BFS technique to find a shortest path between *x* and *y* who are at distance *d*.
- Roughly speaking, each level of the search will expand the universe of "explored" nodes by a factor of *d*, so about *d^k* total time is needed.
- What's a simple way to improve? (Hint: look at the title)

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- What's a simple way to improve? (Hint: look at the title)
- Conduct a BFS simultaneously from *x* and *y*.
- Think of the BFS from *y* as going backwards.
- Do a level of *x*'s BFS, then *y*'s BFS, then *x*'s, etc.
- Let *P* be a shortest path between *x* and *y*, and *z* be a middle point of that path; hence it is distance k/2 from both *x* and *y*.
- We can detect that two trees will hit after k/2 rounds total time complexity $O(d^{k/2})$.

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AQ (A

- Again, we want to search from *x* to *y* in a huge graph.
- Basic idea: We can improve Dijsktra's shortest path algorithm by taking in to account an *estimate* of how far each node is from the target.

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- Example: 15-square. Each step we can slide a square into the hole.

13	10	11	6
5	7	4	8
1	12	14	9
3	15	2	

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

 \Rightarrow

- Again, we want to search from *x* to *y* in a huge graph.
- Basic idea: We can improve Dijsktra's shortest path algorithm by taking in to account an *estimate* of how far each node is from the target.
- Let *h* be a nonnegative underestimating function:

for all $v : dist(y, v) \ge h(v)$.

- Intuition: if $h(v_1) \gg h(v_2)$ then we should explore v_2 first.
- Example: 15-square. Each step we can slide a square into the hole.



• If *y* is the unscrambled state, then we may take

h(v) = number of out-of-position elements in v.

• In route planning, h can be the Euclidean distance to y.

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Image: A matrix and a matrix

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- Penalizes the search away from nodes believed to be far off-target.
- Unfortunately, this doesn't exactly work as we had hoped. In order to get the right answer we may have to explore some nodes many times.
- Essentially, inconsistent local overestimates can deter us from short paths.
- We must add the following two *consistency* conditions to h :
 - $\blacktriangleright h(y) = 0,$
 - ► $h(p) h(q) \le c[p,q]$ whenever pq is an edge of the graph.

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 - $\blacktriangleright h(y) = 0,$
 - ► $h(p) h(q) \le c[p,q]$ whenever pq is an edge of the graph.
- This *always* performs at least as quickly as Dijkstra's algorithm.
- As *h*(*v*) increases towards a better underapproximation of *dist*[*v*, *y*], the number of iterations required by *A*^{*} search decreases.

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- Preorder, Postorder, Topological Sort
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- For each node record the first and last time the squirrel visited the node.



< <p>Image: Construction of the second secon

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- Forget the names? In *pre*order, *x pre*cedes its children.
- *Aside:* for binary trees there is also *inorder* where you first visit the left child, then the root, then the right child.



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- Formally, we have some vertices, and directed edges between the vertices. Edge \overrightarrow{uv} means v must be put on before u.
- Assume there are no cycles (or else getting dressed is impossible). In other words this is a directed acyclic graph (DAG).
- How can we determine an order to get dressed?

¹Usually DFS as it leads to efficient postorder computation. $(\Box \rightarrow \langle \Box \rangle \langle \Xi \rightarrow \langle \Xi \rangle \rangle \equiv 0$

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- Complication: may need to pick multiple trees.
- Same idea gives cycle detection.

DFS Lite & Topological Sort

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DFS Lite & Topological Sort

- DFS is often implemented without an *explicit* stack.
- Here's a short implementation of topological sort:
- 1: isExplored := boolean[v]
- 2: postList := list $\langle int \rangle$
- 3: **procedure** DFS-ORDER(G, v)
- 4: isExplored[v] := true
- 5: //preList.add(v)
- 6: **for** all outneighbours w of v **do**
- 7: **if** (!isExplored[w]) **then** DFS-Order(G, w)
- 8: postList.add(v)
- 9: **procedure** TOPOLOGICALSORT(*G*, *v*)
- 10: **for** i := 0 to v 1 **do**
- 11: DFS-Order(G, i)
- 12: return postList

Initialized to false.Initially empty.

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- An edge of a connected graph is a *bridge* if, when it is deleted, the graph is no longer connected.
- Equivalently *uv* is a bridge if every path from *u* to *v* uses the edge *uv*.
- How can we determine the bridges of a graph?

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- How can we determine the bridges of a graph?
- It is clear that any spanning tree contains all bridges.
- Furthermore we can argue that the tree edge (*P*[*v*], *v*) is a bridge exactly when there are no edges "out of" the subtree rooted at *v*.

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- How can we compute this "out of" property precisely? Use the squirrel.

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• What does "out of the subtree" mean?

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- What does "out of the subtree" mean?
- For each node let *low*(*v*) be the minimum of its prelabel, its non-tree neighbours' prelabels, and its children's *low* values.
- For each node let *high*(*v*) be the maximum of its prelabel, its non-tree neighbours' prelabels, and its children's *high* values.
- Can show that (P[v], v) is a bridge if and only if low(v) = pre(v) and high(v) =pre(v) + subtreesize(v) - 1.

- An *articulation point*, analogous to a bridge, is a *vertex* whose deletion causes a graph to be disconnected.
- By refining the ideas above we can get a O(n + m) time algorithm for articulation points. The formulation is cleanest using DFS because then there are no *cross edges* (edges uv such that neither u nor v is an ancestor of the other).
- Note that the naive algorithm for articulation points delete each point in turn and see if the graph is connected takes O(n(m+n)) time.
- You can also compute some other things called *biconnected components* and *blocks*. Roughly speaking, you can cut the graph into parts such that each part can tolerate any single node or vertex failure.

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- In English: the vertices can be partitioned into *strong components* so that *x* ↔ *y* if and only if *x* and *y* are in the same component.
- 1: isExplored := boolean[v]
- 2: postList := list $\langle int \rangle$
- 3: **procedure** STRONGCOMPONENTS(G, v)
- 4: **for** i := 0 to v 1 **do**
- 5: DFS-Order(G, i)
- 6: newOrder := postList.copy().reverse()
- 7: fill(isExplore, false)
- 8: **for** i in newOrder **do**
- 9: **if** !isExplored[*i*] **then**
- 10: DFS-Label($G^{\mathbf{T}}, i$)
- 11: return labels

Initialized to false.Initially empty.

 \triangleright When *j* is explored, label[*j*] := *i*.

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Strong Components



• Why does this DFS witchcraft work?

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David Pritchard (U Waterloo C&O)

Strong Components



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- Explore other blobs in turn.

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